

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35.U.S.C. 371

80 Re PCT/PTO 05 DEC 1997
E 6237277US

ATTORNEY'S DOCKET NO.
31425 PCT/USA

U.S. APPLICATION NO.
08/973564

INTERNATIONAL APPLICATION NO.
PCT/JP96/01622

INTERNATIONAL FILING DATE
June 13, 1996

PRIORITY DATE CLAIMED
June 16, 1995

TITLE OF INVENTION

SEMICONDUCTOR DEVICE, IC CARD UTILIZING THE SAME AND COMMUNICATION SYSTEM

APPLICANT(S) FOR DO/EO/US

SHINJI KAMEI

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☒ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

1. FORM PCT/RO/101 (PCT APPLICATION REQUEST;
2. FORM PCT/RO/105;
3. FORM PCT/RO/106;
4. FORM PCT/IB/301;
5. FORM PCT/ISA/202;
6. FORM PCT/IB304;

7. FORM PCT/IPEA/416;
8. FORM PCT/IPEA/409 (INTERNATIONAL PRELIMINARY EXAMINATION REPORT AND CITED REFERENCES);
9. FORM PCT/IB 308;
10. FORM PCT/IPEA/408;
11. FORM FORM PCT/IB 346;
12. FORM PCT/ISA/220;
13. FORM PCT/ISA/210 (INTERNATIONAL SEARCH REPORT);
14. FORM PCT/IB/332;
15. FORM PCT/IPEA 402;

INTERNATIONAL APPLICATION NO PCT/JP96/01622.	INTERNATIONAL FILING DATE Jul 13, 1996	PRIORITY DATE CLAIMED Dec 16, 1995
TOTAL NATIONAL FEE =		\$1200.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +		\$
TOTAL FEES ENCLOSED =		\$1200.00
		Amt. refunded \$
		charged \$

a. ☒ A check in the amount of \$1,200.00 to cover the above fees is enclosed.

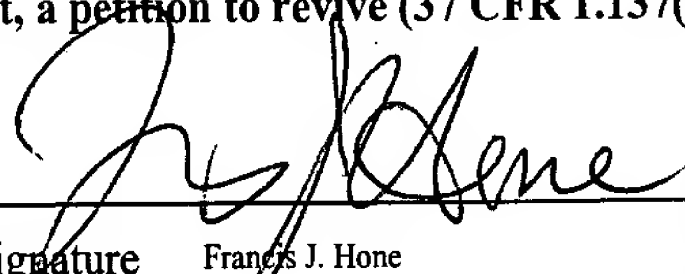
b. ☐ Please charge our Deposit Account No. 02-4377 in amount of \$ to cover the above fees. A copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 02-4377. A copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

BAKER & BOTTS, L.L.P.
30 Rockefeller Plaza
New York, New York 10112-0228

Signature  Francis J. Hone

Date December 5, 1997

Registration No. 18,662

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16. FORM PCT/IB/308;
17. INTERNATIONAL PUBLICATION (WO97/00493) WITHOUT INTERNATIONAL SEARCH REPORT AND TO BE
REPUBLISHED UPON RECEIPT OF THAT REPORT;
18. FORM PCT/ISA/206 AND CITED REFERENCE

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☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5):

☒ Search Report has been prepared by the EPO or JPO \$930.00

International preliminary examination fee paid
to USPTO (37 CFR 1.482) \$720.00

No international preliminary examination fee
paid to USPTO (37 CFR 1.482) but international
search fee paid to USPTO (37 CFR 1.445(a)(2)) \$790.00

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$1,070.00

International preliminary examination fee paid to USPTO (37 CFR
1.482) and all claims satisfied provisions of PCT Article 33(2)-(4) \$ 98.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$930.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 C.F.R. 1.492(e)).

\$

Claims

Number
Filed

Number Extra

Rate

\$

Total 7 Claims -20= 0 X \$ 22.00

\$

Independent Claims 3 -3= 0 X \$ 82.00

\$

Multiple dependent claim(s) (if applicable) 1 + \$270.00

\$270.00

TOTAL OF ABOVE CALCULATIONS =

\$1200.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

SUBTOTAL =

\$

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

+

\$